## INFORMATION DISCLOSURE STATEMENT

**Applicant** 

Thomas W. Davison et al.

App. No.

: Unknown

Filed

: Herewith

For

: CANNULA FOR RECEIVING SURGICAL

**INSTRUMENTS** 

Examiner

Unknown

: . :

Group Art Unit

Unknown

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 82 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references listed, are enclosed.

This Information Disclosure Statement is being filed within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: November 14, 2003

Andrew M. Douglas

Registration No. 51,212 Attorney of Record

Customer No. 20,995

(949) 760-0404

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. ENDIUS.5CP1C9

APPLICATION NO. Unknown

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

APPLICANT

Thomas W. Davison et al.

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE Herewith GROUP

				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	1,170,324	2/1916	Pomerene			
	2	3,044,461	7/1962	Murdock			
	3	3,503,398	3/1970	Fogarty et al.			
	4	3,789,852	2/1974	Kim et al.			
	5	4,449,532	5/22/84	Storz			
	6	4,451,256	5/29/84	Weikl et al.			
	7	4,545,374	10/8/85	Jacobson			
	8	4,601,713	7/1986	Fuqua			
	9	4,716,901	1/1988	Jackson et al.			
	10	4,863,133	9/5/89	Bonnell			
	11	4,899,729	2/1990	Gill et al.			
	12	4,984,564	1/15/91	Yuen			
	13	4,921,478	5/1990	Solano et al.			
	14	5,139,511	8/1992	Gill et al.			
	15	5,163,949	11/1992	Bonutti			
	16	5,190,561	3/1993	Graber			
	17	5,196,023	3/1993	Martin .			
	18	5,197,971	3/1993	Bonutti			
	19	5,295,994	3/22/94	Bonutti			
	20	5,312,417	5/1994	Wilk			_
	21	5,313,962	5/1994	Obenchain			
	22	5,354,302	10/1994	Ко			
· · · · · · · · · · · · · · · · · · ·	23	5,370,647	12/1994	Graber et al.			
	24	5,370,659	12/1994	Sakashita			
	25	5,417,203	5/1995	Tovey et al.			
	26	5,439,464	8/8/95	Shapiro			
	27	5,443,479	8/1995	Bressi, Jr.			
	28	5,454,365	10/3/95	Bonutti			

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO	).
ENDIUS.5CP1C9	

APPLICATION NO. Unknown

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT

Thomas W. Davison et al.

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FILING DATE Herewith GROUP 3731

				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	29	5,490,819	2/1996	Nicholas et al.			
	30	5,529,571	6/1996	Daniel			
	31	5,601,590	2/11/97	Bonutti et al.			
	32	5,667,520	9/1997	Bonutti			
	33	5,690,606	11/1997	Slotman			
	34	5,707,359	1/1998	Bufalini			
	35	5,762,629	6/1998	Kambin			
	36	5,782,919	7/1998	Zdeblick et al.	-		
	37	5,792,044	8/1998	Foley et al.			
	38	5,795,289	8/1998	Wyttenbach			
	39	5,827,319	10/1998	Carlson et al.			
	40	5,851,214	12/1998	Larsen et al.			
	41	5,8654,802	2/2/99	Yoon et al.			
	42	5,902,231	5/1999	Foley et al.			
	43	5,954,635	9/1999	Foley et al.			
	44	5,961,499	10/1999	Bonutti et al.			
	45	5,976,161	11/1999	Kirsch et al.			
	46	5,997,508	12/1999	Lunn et al.			
	47	6,120,437	09/19/00	Yoon et al.			
	48	6,126,671	10/2000	Richards et al.			
	49	6,152,871	11/2000	Foley et al.			
-	50	6,162,236	12/2000	Osada			
	51	6,171,299 B1	1/2001	Bonutti			
	52	6,187,000	2/13/01	Davison et al.			
	53	6,312,443 B1	11/2001	Stone			
	54	6,338,730 B1	1/2002	Bonutti et al.			
•	55	6,358,266 B1	3/2002	Bonutti			
	56	6,371,968 B1	4/16/02	Kogasaka			

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. ENDIUS.5CP1C9 APPLICATION NO. Unknown

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT Thomas W. Davison et al.

FILING DATE Herewith GROUP <del>3781</del>

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	57	6,524,320	2/25/03	DiPoto			
	58	6,383,195 B1	5/2002	Richard			
	59	6,494,893 B2	12/2002	Dubrul et al.			
	60	2002/0002360 A1	1/2002	Orth et al.			
	61	2003/0009130 A1	1/2003	Stecker et al.			
	62	2003/0014068 A1	1/16/03	Bonutti			

				FOREIGN PATENT DOCUMENTS				
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
INITIAL							YES	NO
	63	WO 95/22285	8/1995	PCT				
	64	EP 0807415 A2	19/11/97	EPO				
	65	WO 01/54560 A2	2/8/01	PCT				

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	66	"Minimally Invasive Update on Danek" Endius Marketing Bulletin, Atavi Atraumatic Spine Fusion System (2002)
	67	"How do I decompress using Atavi System?" Endius Marketing Bulletin, Atavi Atraumatic Spine Fusion System (2002)
		"A Minimally Invasive Technique for Decompression of the Lumbar Spine", Guiot, Bernard H., M.D., Khoo, Larry T., M.D., Fessler, Richard G., M.D., Ph.D., SPINE, 27, 4: 432-438, 2002
	69	"Minimal Access Spinal Technologies", Medtronic Sofamor Danek, Orthopedics Today, 1-20, 2002
		"Percutaneous pedicle screw fixation of the lumbar spine", Foley, Kevin T., M.D., Gupta, Sanjay K., M.D., Justis, Jeff R., B.S., Sherman, Michael C., M.S., Neurosurg Focus, 10: 1-8, 2001
	1 1	METRx Microdiscectomy Surgical Technique, Medtronic Sofmor Danek, 2001 as described by: Donald L. Hilton, Jr., M.D., F.A.C.S. and Sylvain Palmer, M.D., F.A.C.S.
		"The Next Step in Minimally Invasive Discectomy Utilizing The Operating Microscope", Medtronic Sofamor Danek, METRx MicroDiscectomy System, 2000
	73	"An Evolution in Minimally Invasive Spine Surgery", Medtronic Sofamor Danek, METRx MicroEndoscopic Discectomy, 1999
	74	"Endoscopic Transforminal Lumbar Discectomy and Reconfiguration: A Postero-lateral Approach into the Spinal Canal" by Ditworth, David A., M.D., Surg Neurol, 49: 588-598 (1998)
	75	"Arthroscopic Lumbar Intervertebral Fusion" by Kambin, Adult Spine: Principles and Practice, pgs. 2037-2046 (1997)

EXAMINER	DATE CONSIDERED
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\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ENDIUS.5CP1C9	APPLICATION NO. Unknown
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Thomas W. Davison et al.	
			FILING DATE Herewith	GROUP 3791

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	76	"Arthroscopic Techniques for Spinal Surgery" by Kambin, Operative Arthroscopy, Second Edition, pgs. 1215-1225 (1996)
		"Diagnostic and Therapeutic Spinal Arthroscopy" by Kambin, Neurosurgery Clinics of North America, Vol. 7, No. 1, pgs. 65-76 (1/1996)
		"The Role of Minimally Invasive Surgery in Spinal Disorders" by Kambin, Advances in Operative Orthopaedics, Vol. 3, pgs. 147-171 (1995)
	79	"Pedicle Screw Placement With Intraosseous Endoscopy", Stauber, Martin H., M.D., Bassett, George S., M.D., SPINE, 19, 1: 57-61, 1994
	80	"Arthroscopic Microdiskectomy" by Kambin, Mount Sinai J. of Medicine, Pgs. 159-164 (3/1991)
	81	"Arthroscopic Lumbar Interbody Fusion", Kambin, Parviz, Publisher Unknown, Chapter 77, 1055-1066, Date Unknown
	82	"Posterolateral Percutaneous Lumbar Interbody Fusion", Kambin, Parviz, Publisher Unknown, Chapter 9:117-121, Date Unknown

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